

Neuronal integration in visual cortex elevates face category tuning to conscious face perception

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The human brain has the extraordinary capability to transform cluttered sensory input into distinct object representations. For example, it is able to rapidly and seemingly without effort detect object categories in complex natural scenes. Surprisingly, category tuning is not sufficient to achieve conscious recognition of objects. What neural process beyond category extraction might elevate neural representations to the level where objects are consciously perceived? Here we show that visible and invisible faces produce similar category-selective responses in the ventral visual cortex. The pattern of neural activity evoked by visible faces could be used to decode the presence of invisible faces and vice versa. However, only visible faces caused extensive response enhancements and changes in neural oscillatory synchronization, as well as increased functional connectivity between higher and lower visual areas. We conclude that conscious face perception is more tightly linked to neural processes of sustained information integration and binding than to processes accommodating face category tuning.

consciousness | object categorization | figure-ground segregation | perceptual organization | recurrent processing

Image processing and image perception are not the same thing. Faces, for example, are processed even when they are invisible to the observer (1), and face processing has been shown to continue in anesthetized macaques (2). Apparently, even highly complex neuronal tuning responses are not sufficient to achieve perception. So what neural operations turn complex tuning responses into conscious representations? A window into these operations might be obtained by investigating perceptual organization. Perceptual organization is an umbrella term for cortical functions that organize sensory input into coherent and interpretable perceptual structures. It is thought to encompass processes such as figure-ground segregation, object detection, and object categorization (3). Theoretical accounts of human vision going back to Rubin (1915) have suggested that perception requires objects to first be segregated from their background (3–5) and that object recognition follows figure-ground segregation. This notion has been challenged by behavioral studies showing that object recognition influences figure-ground assignment and might even precede it (6). Given their putative role in perception, resolving the relationship between category tuning and figure-ground segregation may tell us how sensory input is transformed into perceptual representations.

To investigate this relationship, we measured signals of category tuning and figure-background processing while subjects viewed visible and invisible objects. Given the speed of object category extraction (7, 8), we hypothesized that basic-level category tuning results from simple-to-complex feedforward computations (9) and is unrelated to object perception (1). We therefore predicted that it should be possible for both visible and invisible objects to elicit category tuning. Figure-ground processing, on the other hand, requires incremental grouping mechanisms in which tuning information from neurons with different receptive field sizes is

integrated across visual areas over longer periods of time (10, 11). It has been suggested that mechanisms of neuronal integration are crucial to feature binding (12) and conscious perception (13). These claims are motivated by key properties of everyday perception that can be uniquely explained by mechanisms of information integration: differentiation, the availability of a seemingly infinite number of conscious experiences, and integration, the perceptual unity of each of these experiences (14). Therefore, our second prediction was that only consciously segregated objects show markers of sustained neuronal integration, directly linking neuronal integration to conscious experience.

Using a dichoptic fusion paradigm (1, 11), we presented objects that were either visible or not visible (Fig. 1*A*). Faces, houses, nonsense objects, and homogenous screens (Fig. 1*B*) were constructed using orientation-defined textures of Gabor elements. Monocularly, objects were created using different orientations for object and background (Fig. 1*A*, left and right eye). When properly viewing stimuli with both eyes, textures in the left and right eye fuse together. The fused textures could either be different for object and background (visible, Fig. 1*A*, *Upper*) or the same for object and background (invisible, Fig. 1*A*, *Lower*). Despite having very different perceptual properties when viewed with both eyes, average monocular stimulation was the same for visible and invisible conditions, allowing us to investigate how signals of category tuning and figure-ground processing are impacted when viewing visible and invisible objects. Neural correlates of category tuning were obtained by contrasting objects of different categories among each other. Comparing these objects with homogenous textures made it possible to look at the processes that are active when segregating objects from their background (see Figs. S1 and S2 for examples of these contrasts and *SI Methods* for details). To be sure, we should note that the figure-ground contrast not only highlights figure-ground processes but also category extraction, as individual object stimuli contain a category, whereas homogenous textures do not. Because functional MRI (fMRI) suffers from spatial blood-oxygen level-dependent (BOLD) summation of the underlying neuronal responses (15), the generic figure-ground profile in category-selective regions could also reflect averaged individual category-selective responses, even though we refer to this contrast as the figure-ground contrast for ease of reference.

Results

To minimize attentional and postperceptual differences between visible and invisible conditions (16), subjects performed a distractor task instead of stimulus categorization. On each trial they

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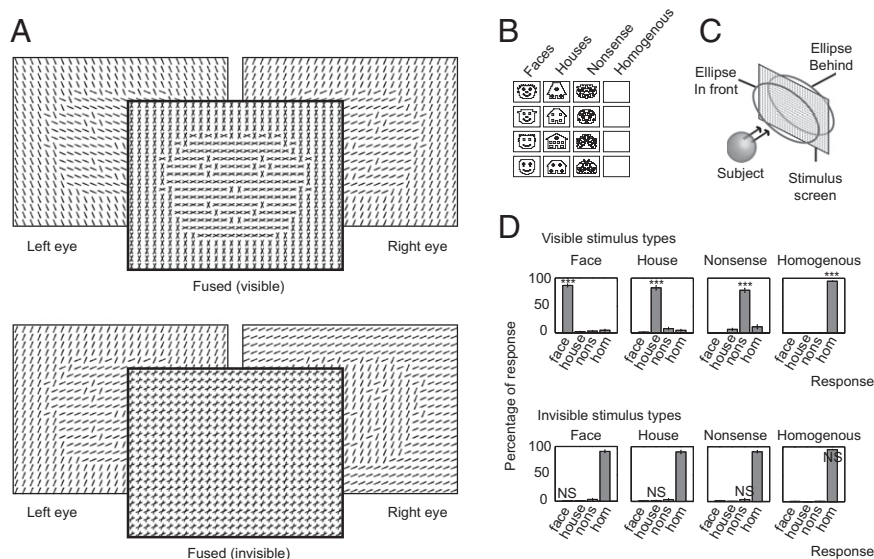


Fig. 1. Experimental setup. (A) Illustrations of visible (Upper) and invisible (Lower) dichoptic fusion using oriented line elements. Objects were defined using a 45° orientation difference between figure and background elements. (B) Illustrations of the four stimulus types: faces, houses, nonsense objects, and homogenous screens. Black lines illustrate orientation discontinuities. (C) 3D depiction of a subject performing the in front/behind distractor task. Both in front and behind are shown for illustrative purposes. (D) Stimulus classification during the post-scanning control task (visible: upper panels; invisible: lower panels). Graphs show the mean response percentage (\pm SEM) for each response to that stimulus type. Paired t test of the hits against false alarms determined whether a category was identified above chance level ($^{N.S.}P > 0.05$; $^{***}P < 10^{-8}$).

had to indicate whether a stereoscopically presented ellipse appeared to be hovering in front or behind the stimulus screen due to a slight offset in left and right eye (Fig. 1C; *SI Methods*). The task could only be performed reliably when stereoscopically focusing on the fixation dot, requiring subjects to fuse left and right eye images. In a behavioral control task performed directly after scanning, but still inside the scanner, subjects combined ellipse localization with a stimulus categorization response (face, house, nonsense object, or homogenous; Fig. 1D). Task performance on ellipse localization during the control task was the same as during scanning (mean hit rate control = 0.91 vs. scanning = 0.90, $F_{1,15} = 0.445$, $P = 0.515$), confirming that stereoscopic viewing conditions between the experimental and control runs were comparable. Visible categories were classified with high accuracy (mean $d' = 3.47$, $F_{1,15} = 548.65$, $P < 10^{-12}$, all individual $P < 10^{-9}$), whereas none of the invisible categories were identified above chance level (mean $d' = 0.11$, $F_{1,15} = 1.58$, $P = 0.228$, all individual $P > 0.18$).

To identify signals related to category tuning, we used fMRI to isolate classical face- and place-selective areas (17, 18). The face-selective contrast (faces > houses and nonsense objects, visible and invisible combined) activated well-defined group-level clusters in the fusiform cortex (Fig. 2A) known as the fusiform face area (FFA). A localizer using photographs of faces and other objects was used to verify that these voxels corresponded to the classical FFA (Fig. S3). The contrast houses > faces and nonsense objects did not reveal place-selective clusters in the parahippocampal place area (PPA). Consequently, the focus of this paper is on face selectivity, although we test for other category-selective responses in all analyses.

We examined BOLD signals in the left and right FFA of functional data that were independent from the data used for FFA selection (*Methods*; Fig. S3). A three-way ANOVA showed that these responses were face selective (faces vs. houses and nonsense objects: $F_{1,15} = 11.26$, $P = 0.004$), larger to visible than to invisible stimuli ($F_{1,15} = 42.68$, $P < 10^{-5}$), and that responses were stronger in the right than in the left hemisphere ($F_{1,15} = 11.70$, $P = 0.004$). Strikingly, face selectivity did not interact with visibility ($F_{1,15} = 1.13$, $P = 0.304$), indicating that category-selective responses to visible and invisible faces were comparable in strength (Fig. 2B and C). The absence of an interaction between face selectivity and visibility was confirmed by three ANOVAs that used various combinations of the face category and other categories. Although all ANOVAs showed main effects of visibility and category, none showed an interaction between visibility and category (faces, houses, nonsense objects:

$F_{2,14} = 1.55$, $P = 0.247$; faces, houses: $F_{1,15} = 0.404$, $P = 0.534$; faces, nonsense objects: $F_{1,15} = 3.11$, $P = 0.098$). Paired t tests further confirmed the presence of face-selective responses in the left and right FFA for both visible and invisible stimuli (invisible left: $t_{15} = 2.06$, $P = 0.029$; visible left: $t_{15} = 2.85$, $P = 0.006$; invisible right: $t_{15} = 2.59$, $P = 0.010$; visible right: $t_{15} = 2.20$, $P = 0.022$; one-tailed), showing that the FFA contains voxels from which face-category information can be extracted, irrespective of whether a face is perceived or not.

To determine the degree to which this is reflected in the time course of the response, we deconvolved the hemodynamic response function (HRF) in the FFA for each of the conditions (19).

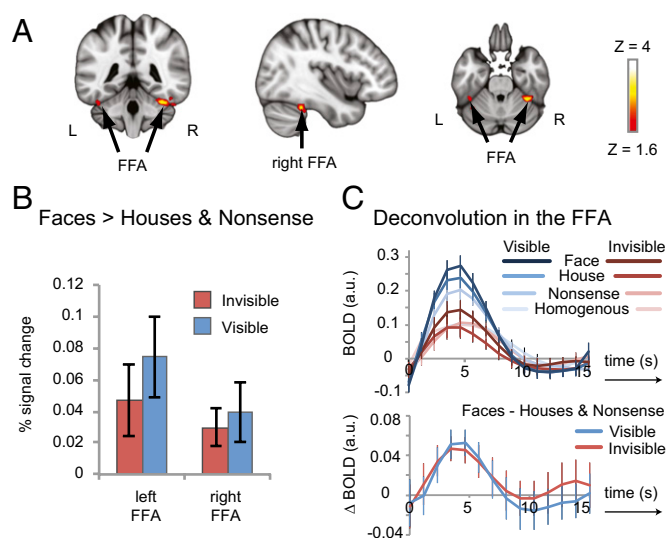


Fig. 2. Univariate category-selective activation to visible and invisible faces. (A) The fusiform face area (FFA) was defined as those voxels within ventral fusiform cortex responding more strongly to face stimuli than to houses and other objects. Shown is the averaged response from two datasets, each obtained through a split half procedure (see *Methods* and Fig. S3 for details). MNI coordinates: $x = 40$, $y = -43$, $z = -27$. (B) Mean percent signal change obtained from the split half procedure (error bars, \pm SEM) for the faces > houses and objects contrast, separately for visible and invisible stimuli in the left and right FFA. (C) Deconvolution of the hemodynamic response to each stimulus category in the FFA (Upper) and the face-selective part of the response to visible and invisible stimuli in the FFA (Lower).

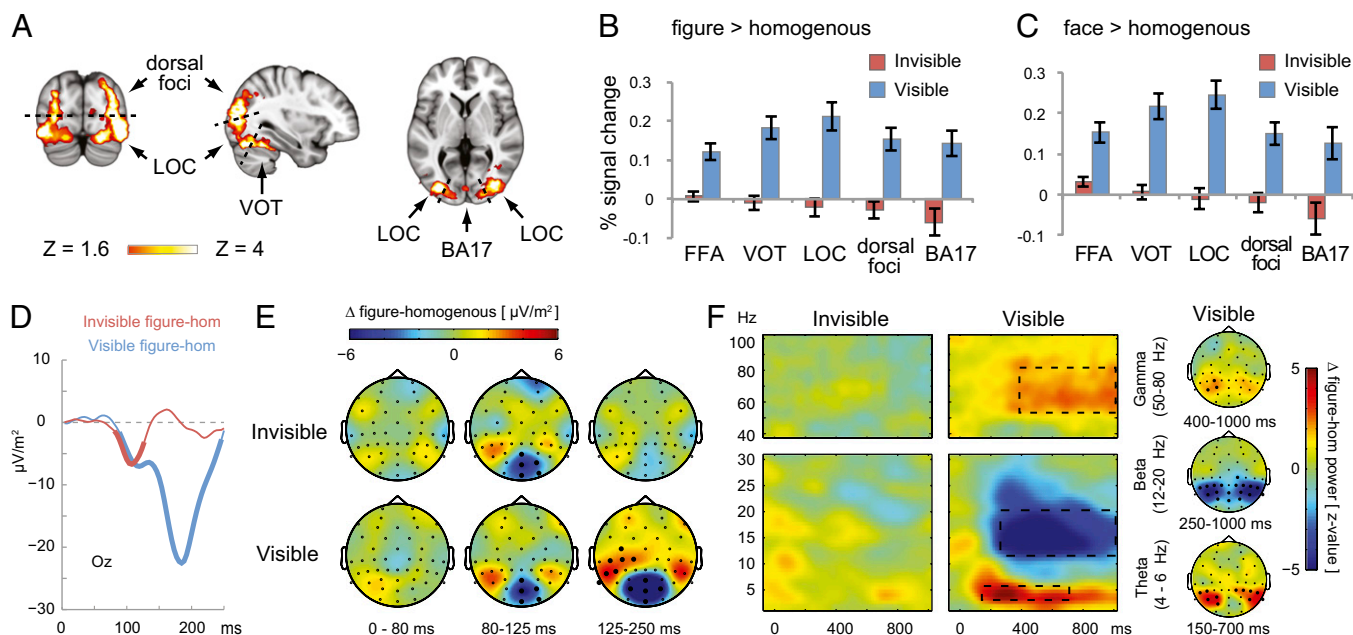


Fig. 4. Figure-ground responses. (A) Figure-ground modulation (faces, houses, and nonsense objects > homogenous textures), from which VOT, dorsal foci, LOC, and BA17 were selected. Shown is the averaged response from two datasets, each obtained from a split half procedure (*Methods*). MNI coordinates: $x = 33$, $y = -81$, $z = 3$. (B) Figure-ground modulation and (C) face-ground modulation (error bars, \pm SEM) during the first functional runs. (D) The evoked figure-ground EEG response to visible and invisible stimuli at electrode Oz, low-pass filtered at 20 Hz for illustration purposes. Thick lines indicate cluster-corrected significance. (E) Topographic distributions of the figure-ground signal evoked by invisible (*Upper*) and visible (*Lower*) figures. Thick electrodes indicate cluster-corrected significance. (F) Induced EEG responses to figure-ground modulation. (*Left*) Time frequency representations of the figure-ground signal at electrodes P3, PO3, PO7, P4, PO4, and P08 of bilateral occipitotemporal cortex. (*Right*) Topographic distribution of significant clusters, indicated by the dashed lines in the time-frequency distribution on the left (only present in the visible condition).

effect between stimulus visibility and the figure-ground response ($F_{1,15} = 43.96$, $P < 10^{-5}$), with figure-ground responses occurring only for visible objects. Fig. 4B shows figure-ground responses to visible and invisible objects in the four ROIs and in the FFA. Two-tailed paired t tests confirmed that visible objects strongly modulated figure-ground signals in each of the ROIs: VOT ($t_{15} = 5.99$, $P < 10^{-4}$), LOC ($t_{15} = 5.78$, $P < 10^{-4}$), dorsal foci ($t_{15} = 5.23$, $P < 0.001$), and BA17 ($t_{15} = 4.33$, $P = 0.001$), as well as in the FFA ($t_{15} = 5.84$, $P < 10^{-4}$), whereas invisible stimuli produced no significant figure-ground response in any of these areas in either direction (all $P > 0.1$). Note that BA17 seems to show a negative invisible figure-ground and face-ground responses (Fig. 4B and C), but these effects are not significant (figure-ground: $t_{15} = -1.75$, $P = 0.1$; face-ground: $t_{15} = -1.51$, $P = 0.153$). A whole-brain analysis using all runs from the dataset showed no figure-ground responses to invisible objects anywhere (cluster creation threshold, $Z > 1.6$; cluster-corrected probability, $P < 0.05$).

The fact that these figure-ground signals occur only when viewing visible objects might be taken as a first indication that face perception is more strongly related to figure-ground processing than to face-category tuning. When inspecting the face-specific figure-ground contrast (faces > homogenous screens; Fig. 4C), the result is nearly identical, although invisible faces did activate the FFA more than homogenous textures (FFA: $t_{15} = 2.73$, $P = 0.032$). This finding is unsurprising, given that the face-ground contrast not only captures a figure-ground relationship but also a face-selective response. This invisible face-ground response is not significantly different from the face-selective response in the FFA ($t_{15} = -0.309$, $P = 0.762$; Fig. 2B), suggesting that it reflects a face-selective rather than a figure-ground response. Interestingly, the visible face-ground response is about five times larger than the visible face-selective response, showing the large contribution of the object-ground relationship to the overall response. Separate face-ground, object-ground, and house-ground ROIs and their corresponding figure-ground profiles can be found in Fig. S6.

To further investigate the presence of invisible face-ground signals, we performed a whole-brain conjunction analysis of visible and invisible face-ground responses, looking for voxels that were jointly activated by visible face-ground and invisible face-ground contrasts ($P < 0.01$ in both contrasts, uncorrected). This analysis turned up a small bilateral cluster in the FFA/VOT only (peak activations—left: $x = -34$, $y = -60$, $z = -26$; right: $x = 36$, $y = -40$, $z = -30$), but no activations anywhere else. Together, these results show that visible objects cause widespread figure-ground modulation across the visual cortex, whereas invisible objects do not, suggesting that faces that do not elicit a figure-ground response across the visual cortex still evoke category-selective responses in the FFA. See *SI Discussion* for a more detailed account of how this might work.

Crucially, we wanted to know whether consciously segregated objects uniquely display markers of neuronal integration (14). Although information integration is difficult to quantify in practice (25), educated guesses can be made with respect to the types of neural activity that could reflect it. For example, information integration across spatially separated sets of neurons might be coded through neural synchrony (12) or concurrent response enhancement (10). Such mechanisms would manifest as power changes in the time-frequency domain of the EEG or through increased functional connectivity between areas as measured with fMRI (26). To test this hypothesis, we collected EEGs using the same experimental protocol that was used during the fMRI experiment. t tests showed that subjects from both experiments performed the same across all behavioral measures (see Fig. S7 for categorization responses during the EEG experiment). To increase spatial resolution and to filter out deep sources, we generated a scalp current source density (CSD) estimate of the EEG using spherical splines (27). Evoked responses (ERPs) were obtained by averaging the CSD waveforms from stimulus onset onward. We isolated figure-ground responses by subtracting the ERP to homogenous textures from ERPs to stimuli containing a texture-defined surface (faces, houses, and nonsense objects

minus homogenous textures), separately for the visible and the invisible conditions (Fig. 4D). Fig. 4E shows the topographic distribution of these figure-ground responses over time. Cluster-based permutation testing (*Methods*) revealed a negative cluster over midline occipital electrodes during the 80- to 125-ms interval for both visible ($P = 0.004$) and invisible conditions ($P = 0.002$). Beyond 125 ms, only visible figures evoked a sustained negative response compared with homogenous textures ($P < 0.001$), accompanied by a positive response spreading into the temporo-parietal cortex (left cluster: $P = 0.004$; right cluster $P = 0.22$). Together, these data suggest that visible figure-ground responses are widespread across visual cortex (Fig. 4B) and persistent in time, whereas the invisible figure-ground signal is focal in space (Fig. 4C) and transient in time (Fig. 4D and E). We also tested for category-selective responses in the EEG signal, but neither visible nor invisible faces evoked N170 or other face-selective responses. See *SI Discussion* for further discussion of this finding.

To investigate the presence of neural synchrony in visible and invisible figure-ground responses, we performed a power analysis of the time-frequency spectrum (Fig. 4F). Changes in the EEG power spectrum that are not phase-locked to stimulus onset (induced) are more likely to reflect changes in neural synchrony, whereas changes that are phase-locked to stimulus onset (evoked) are more likely to reflect transient afferent activity (28). Therefore, we further isolated the induced response by subtracting the average ERP to each condition from its respective single-trial responses before performing a power spectrum analysis (*SI Methods*). A cluster-based permutation test did not reveal power changes in the time-frequency signal for invisible textures containing a figure compared with homogenous textures, suggesting that the invisible figure-ground response was indeed driven by the evoked portion of the signal. Visible figures, however, exhibited a strong power decrease in the low beta band (12–20 Hz) between 250 and 1,000 ms (cluster-level $P < 0.001$), as well as power increases in the high gamma band (50–80 Hz) at 400–1,000 ms (cluster-level $P < 0.012$) and in the theta band (4–6 Hz) between 150 and 700 ms (cluster-level $P < 0.001$), with a topographic distribution over the bilateral occipitotemporal cortex (Fig. 4F). These changes in the time-frequency spectrum show that the processing of visible, but not invisible, figures results in sustained oscillatory (de)synchronization of cell assemblies (28), providing a mechanism by which neurons may label shared surface and boundary elements of the figure-ground display (29). The face-ground response profile was virtually identical, although the face-ground gamma responses were weaker and did not reach statistical significance (Fig. S8). For a more in-depth treatment of functions that may be associated with this spectral fingerprint, see *SI Discussion*.

To probe whether the induced changes in the time-frequency spectrum of the visible figure-background response coincide with increased functional connectivity across the visual cortex, we took the bilateral FFA as a seed region and performed a psychophysiological interaction (PPI) analysis on the fMRI data (30) (*Methods*). Responses to visible faces in the FFA were associated with stronger functional connectivity with early visual areas than responses to invisible faces (Fig. 5), an effect that cannot be attributed to differences in response amplitude between visible and invisible faces (*SI Discussion*). This finding suggests that the processing of visible faces results in stronger intercortical integration than the processing of invisible faces and that this integrative process takes place between higher and lower visual areas on a multisecond timescale. Separate PPI analyses of visible and invisible face-ground processing showed that this effect was not an additive effect for visible over invisible faces, but was a qualitative effect. FFA activity to visible faces had increased functional connectivity with the same cortical areas compared with homogenous textures, but invisible faces showed no increase in functional connectivity with these areas compared with homogenous textures. These results provide topographically specific evidence for the locus of information integration across visual areas during the processing of visible faces.

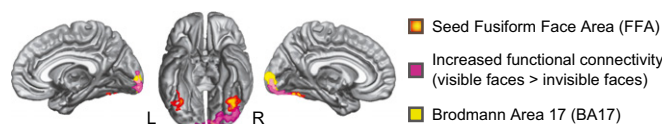


Fig. 5. Increased PPI with FFA activity when viewing visible compared with invisible faces. Ventral and medial renderings of the regions in the cortex that show increased functional connectivity with the FFA when subjects are viewing visible faces compared with when they are viewing invisible faces ($Z > 1.6$, corrected $P < 0.05$). The regions showing increased functional connectivity are indicated in purple. In the right hemisphere, this cluster runs ventrally from the right FFA through large parts of extrastriate cortex to BA17. In the left hemisphere, the cluster is confined to BA17 and BA18.

Discussion

Summarizing, just like visible faces, invisible faces generate category-specific responses but do not generate responses that contain the neural markers of cortico-cortical integration that manifest in the putative figure-ground signals of visible objects. From this, we conclude that traditional theories on human perception may be mistaken in their notion that figure-ground processing is a necessary precursor to object categorization (3–5). We suggest that face category extraction can be achieved through feedforward computations, whereas conscious representations require large-scale neuronal integration through recurrent interactions in visual cortex. Importantly, we combined visible and invisible conditions in all contrasts that were used for ROI selection. This procedure preferentially targets voxels that are selective in both the visible and invisible contrast, if they exist. We do not claim that all voxels that are face selective for visible faces should also be face selective for invisible faces or the other way around. However, because only visible objects generated markers of neuronal integration, these seem to be a better indicator of perceptual organization than category extraction itself. Further note that we only observed category-selective responses to faces. A lack of task relevance to the objects during the task may have resulted in a lack of category-selective responses to other categories (31). Indeed, others have observed category-selective responses to invisible task-relevant categories other than faces (1). Alternatively, invisible category-selective responses do not depend on task relevance but on domain specificity of the regions involved (17, 18). If this is the case, our result might not generalize to other stimulus categories, even under conditions of task relevance. *SI Discussion* contains a more in-depth treatment of this issue.

Finally, it might be argued that the markers of neuronal integration we observed are partially caused by selective attention. We observed markers of neuronal integration despite the fact that our subjects performed a distractor task during scanning in which attentional resources were directed elsewhere. Indeed, evidence suggests that perceptual organization does not depend on attention, but rather provides the structure on which it operates (32). However, even if the visible objects grabbed attention involuntarily, our conclusions remain unchanged. We do not claim that the effects of neuronal integration we observed must be caused by some specific process, but rather that (i) none of the effects of neuronal integration that we observed seems to be required for face-category tuning, and (ii) correlates of neuronal integration are a better marker for perceptual organization and conscious perception than face-category tuning. We suggest that going from face-category tuning to neuronal integration across the visual cortex marks the transition from unconscious to conscious face representations.

Methods

Subjects. Thirty-eight subjects (18 fMRI, 3 males; 20 EEG, 4 males) viewed texture stimuli in a single-session experiment. Four subjects (two EEG subjects) were excluded because of artifacts or hardware. All subjects were healthy adults with normal vision. All provided written informed consent. The research was approved by the ethical committee of the Psychology Department of the University of Amsterdam.

Equipment. Stimuli were presented at 800×600 resolution ($16.9^\circ \times 12.7^\circ$ visual angle) at a rate of 60 Hz. Images for the left and the right eye were sent through differently polarized filters while subjects viewed the screen using correspondingly polarized glasses.

Experimental Procedure. All subjects participated in a short training session. Subsequently, subjects performed the experimental task, during which fMRI or EEG imaging data were acquired (see *SI Methods* for detailed acquisition parameters). Directly after fMRI/EEG acquisition, subjects performed the control task to establish stimulus visibility.

fMRI Analysis. Using the Oxford Centre for Functional MRI of the Brain (FMRIB) Software Library (FSL), functional data were motion corrected, slice-time aligned, temporally filtered with a high-pass filter (35 s), and spatially filtered using a Gaussian envelope (univariate analyses: 5 mm; multivoxel analyses: 2 mm). Data were spatially normalized to MNI space using FMRIB's Nonlinear Image Registration Tool (FNIRT). All ROI analyses were performed using a split half procedure. Each trial was pseudorandomly assigned to one of two datasets, each containing exactly half of the data. Subsequently, one dataset was used to draw ROIs, exporting responses in those ROIs from the other dataset, and the same procedure was repeated after switching the datasets used for ROI selection and export. Finally, the exported data were averaged and used for statistical testing (Fig. S3). Whole-brain searchlight analyses were performed on the entire dataset using custom code in Matlab (Mathworks). For each subject and each run, a general linear model was created. A predictor convolved with a standard HRF modeled each condition. Single subject parameter estimates were generated by combining runs using a fixed-effects higher-level analysis. Individual subject statistics were combined using FMRIB's Local Analysis of Mixed Effects (FLAME1) for univariate and PPI analyses, correcting for multiple comparisons using cluster thresholding. Multivoxel analyses were combined across subjects using FMRIB's randomize function for nonparametric permutation testing (33), correcting for multiple comparisons using threshold-free cluster enhancement (TCFE) (34).

Functional Connectivity Analysis. A nine-column design matrix was constructed: (i) a regressor for the contrast of interest, e.g., visible vs. invisible faces, convolved with the HRF; (ii) a time series regressor containing the time course of FFA activation; (iii) an interaction regressor containing the interaction term between 1 and 2, and (iv–ix) HRF-convolved regressors for the other six stimulus types: visible and invisible houses, nonsense objects, and homogenous screens. The regression coefficient of the interaction term gives a measure of increased functional connectivity with the FFA for visible compared with invisible faces (30).

EEG Analysis. The data were preprocessed using the Brain Vision Analyzer with a high-pass filter (0.5 Hz), a notch filter (50 Hz), and segmented into (–300, 1,300) millisecond periods. Segments containing transients exceeding $\pm 200 \mu\text{V}$ were removed before ocular artifact correction. Finally, segments containing transients exceeding $\pm 50 \mu\text{V}$ were removed, and a CSD transformation was applied to obtain reference-free data. All subsequent analyses were performed in Matlab with the FieldTrip toolbox (35) (Radboud University). Differences between conditions were assessed using cluster-based permutation testing (36).

ERPs. We visualized three time bins based on previously established properties of the ERP during the processing of figure–ground displays (37): 0–80 ms (does not differentiate between figure and no-figure textures), 80–125 ms (first figure–ground response but does not correlate with perception), and 125–250 ms (first figure–ground response to correlate with perception). We performed a permutation test over each averaged bin to establish clusters of electrodes exhibiting an evoked response in that time window.

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